

The result

This there is a bijection

$$\left\{ \text{ECs } / \mathbb{Q} \text{ up to isogeny} \right\} \xrightarrow{1:1} \left\{ \begin{array}{l} \text{Normalized newforms of} \\ \text{) weight 2} \\ \text{) level } \Gamma_0(N), \text{ some } N \geq 1 \\ \text{) rational Fourier coeff.} \end{array} \right\}$$

It is given by $E \rightarrow f$ if for almost all p ,

$\text{tr}(T_p \in \text{End}(\tilde{E}_p)) = p\text{-th Fourier coeff } a_p \text{ of } f$

absolute Frob. Special fiber: $\mathbb{F}_p \otimes_{\mathbb{Z}} \tilde{E}$ of integral model
 = relative Frobenius

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24 /

Since \tilde{E}_p/F_p is $\tilde{E}/\mathbb{Z}[B^{-1}]$. $B = \text{product of bad red}$.

Since \tilde{E}_p/F_p is $\tilde{E}/\mathbb{Z}[B^{-1}]$, $B = \text{product of bad red}$.

Here $\text{kr}(F_p) = F_p + F_p^* \in \mathbb{Z}$.

@ RHS : f certain function on upper half-plane H ,

has expression $f(\tau) = \sum_{n \geq 1} a_n \cdot \exp(2\pi i \cdot n \cdot \tau)$

a_n : called n -th Fourier coefficient

Idea of proof

Consider cohomology of modular curve:

$$\text{étale cohom} \quad H^1(\overline{\mathbb{Q}} \otimes X_K, \overline{\mathbb{Q}_\ell})$$

comparison isom \longrightarrow "usual" cohom.

Betti cohom: $H^1(X_K(\mathbb{C}), \mathbb{C})$ from Topology 1.

) Modularity Thm \Rightarrow Each E "occurs" in étale cohom

) Hodge Theory / C \Rightarrow Betti cohom = space of modular forms of weight 2, level K

Then consider a huge ring^(*), the Hecke algebra \mathcal{H} .

It acts naturally (compatibly w/ comparison iso)

on both cohom groups, decomposing them into

eigenspaces.

Matching eigenspaces by eigenvalues gives bijection

from theorem.

(*) \mathcal{H} still very simple, polynomial

$$\text{ring } \mathbb{Q}[T_p, \langle p \rangle^{\pm 1}; p \in K]$$

{ Modularity Thm

Thm (Wilf, Breuil - Conrad - Diamond - Taylor)

For every EC E/\mathbb{Q} , there is an $N \geq 1$ and a non-constant map $X_{\Gamma_0(N)} \xrightarrow{f} E$.

f called modular parametrization of E

Notation $M_N, M_{N,p} / \mathbb{Z}[\frac{1}{N}]$ usual moduli spaces

$$Y_N, Y_{N,p} := \mathbb{Q} \otimes_{\mathbb{Z}} M_N \text{ resp. } \mathbb{Q} \otimes_{\mathbb{Z}} M_{N,p}$$

(smooth affine cover over $\text{Spec } \mathbb{Q}$)

$X_N, X_{N,p}$ = unique smooth compactification
of Y_N resp. $Y_{N,p}$

(Two constructions: 1) Pick $Y \rightarrow \mathbb{P}_{\mathbb{Q}}^1$ non-constant;
put X = normalization of $\mathbb{P}_{\mathbb{Q}}^1 \times Y$.

2) $|X| = \{ \text{valuations } v \text{ on } \mathbb{Q}(Y) \text{ s.t. } v|_Q \text{ trivial} \}/\sim$

topology: closed sets = $\{v_1, \dots, v_r\} \subset |X|$ v_i non-constant;
 $r < \infty$.

$$\mathcal{O}_X(U) = \{ f \in \mathbb{Q}(Y) \mid v(f) \geq 0 \text{ for all } v \in U \}$$

We use as black box that $M_N, M_{N,p}$ have natural smooth compactifications $M_N^*, M_{N,p}^*/\mathbb{Z}[\frac{1}{N}]$.

Let $K \subseteq GL_2(\hat{\mathbb{Z}})$ any level.

Assume $K \cap GL_2(\mathbb{Z}_p) = GL_2(\mathbb{Z}_p)$

Pick N s.t. $K(N) \subseteq K$ and $(p, N) = 1$.

Define $M_K, M_{K,p}, Y_K, \dots$ as quotient of $M_N, M_{N,p}, Y_N, \dots$ by $K/K(N)$

\Rightarrow This defines $X_{\Gamma_0(N)} = X_{K_0(N)}$ from Thm.

($X_{\Gamma_0(N)}$ = coarse moduli of $(E, C \subseteq E), C \cong \mathbb{Z}/N$ étale locally.)

Reinterpretation in terms of the Jacobian.

Def $J_K :=$ Jacobian of X_K

\vdash abelian variety representing $\text{Pic}_{X_K/\mathbb{Q}}^\circ$

$\text{Pic}_{X_K/\mathbb{Q}}^\circ(S) = \{ \mathcal{L} \text{ on } S \times_{\text{Spec } \mathbb{Q}} X_K \text{ s.t. }$

$\deg \mathcal{L}(s)|_C = 0 \quad \forall s \in S, C \in \pi_0(K(s) \otimes X_K) \} / \text{Pic}(S)$

(The defn. captures that X_k might be non-connected.)

Note that $X_{\Gamma_0(N)}$ is geometrically connected since

$$\text{def} : \left\{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \in \text{GL}_2(\mathbb{Z}/N) \right\} \longrightarrow (\mathbb{Z}/N)^\times$$

is surjective. This is the case of interest,

J is then usual Jacobian.)

Prop (Poincaré reducibility) \mathbf{k} any field

$\{ \text{AV}/k \text{ w/ } \mathbb{Q}_{\mathbb{R}} \otimes \text{Hom}(-, -) \}$ (isogeny category
of AVs)

is semi-simple.

Idea Given: $Y \hookrightarrow X$ sub-AV. Pick

ample l.b. L on X , consider induced polarization

$\phi_L : X \rightarrow X^\vee$. Put $Z = \ker(X^\vee \rightarrow Y^\vee)$.

Then one may show that $Y \times \phi_L^{-1}(Z) \rightarrow X$

is an isogeny. (cf. AV Lect 25 or
Mumford §19 Thm 1) \square

$$\hookrightarrow J_K \xrightarrow{\sim} \prod_{i=1}^r A_i^{n_i}$$

\exists isogeny

for uniquely determined $n_i \geq 1$ and simple

abelian varieties with $\text{Hom}(A_i, A_j) = 0 \quad i \neq j$.

A simple $\bar{Z} \subseteq A$ sub-AV $\Rightarrow Z = 0$ or A

$\Leftrightarrow \text{End}^0(A)$ is a division algebra.

Rmk: There always exist finite morphisms $X \rightarrow J$:

E.g. assume X connected, let $D \in \text{Div}(X)$ any sd.

$\deg D \neq 0$: Then $x \mapsto \mathcal{O}(D - \deg(D) \cdot f_x)$

defines such a map. In this case, the composition

$$X^g \rightarrow J^g \xrightarrow{\Sigma} J \quad g = \text{genus of } X$$

\Rightarrow surjective & generically finite.

It follows that, for an AV A :

$$\exists \text{ non-constant } X \rightarrow A \Leftrightarrow \exists J \xrightarrow{\neq 0} A$$

$\Leftarrow A \sim A_i$ for some i .

Example ECs are simple since of dimension 1.

There is a moduli space \mathcal{M}_g/\mathbb{Q} of principally polarized AVs of dim g . It has $\dim \mathcal{M}_g = \frac{g(g+1)}{2}$.

In particular,

$$\dim \mathcal{M}_h \times \mathcal{M}_{g-h} < \dim \mathcal{M}_g \quad \forall 0 < h < g$$

\Rightarrow "Most" AVs/ \mathbb{Q} are not products of smaller - dim AVs up to isogeny, meaning they are simple.

Reformulation of Modularity Theorem:

Every EC E/\mathbb{Q} occurs in some $\mathcal{J}_{\Gamma_0(N)}$

§ Correspondences

Why bother?

G group, $G \curvearrowright X$, $K \subseteq G$ subgroup.

Then no G -action on $K \backslash X$ anymore!

Namely $Kx_1 = Kx_2$ does not imply

$gKx_1 = gKx_2$ in general.

Only the set of all possible choices is well-defined:

$$g \bullet x := K \backslash KgKx \subseteq K \backslash X$$

i.e. new $g \bullet -$ an multivalued map (= correspondence)

$$C_g := \{ (Kx_1, Kx_2) \mid \exists k_1, k_2 \text{ s.t. } gk_1 x_1 = k_2 x_2 \}$$

$$\Leftrightarrow k_2^{-1} g k_1 \cdot x_1 = x_2$$

Now let V = functions on X

(or sections of a G -equivariant bundle)

Then $G \curvearrowright V$ by translation and

K -invariants V^K = functions on $K \backslash X$

But V^K not G -stable in general, only

$$g \cdot v \in V^{gKg^{-1}} \text{ if } v \in V^K$$

Assume however that K/KgK is finite, so

$$p_1 : C_g \rightarrow K \backslash X \text{ is finite.}$$

Then can define

$$C_g : V^K \rightarrow V^K, f \mapsto p_{1,*}(p_2|_{C_g}^* f)$$

rep. $v \mapsto \sum_{i \in I} h_i \cdot v$ K -averaging

where $KgK = \coprod_{i \in I} K \cdot h_i$. (I finite by assumption.)

Our case of interest: $G = \mathrm{GL}_2$

$$G(\mathbb{Q}) \backslash (H^\pm \times G(\mathbb{A}_f)) \supseteq G(\mathbb{A}_f) \cup K \text{ level subgroups.}$$

Def X smooth proper curve / k

$\text{Corr}(X) := \mathbb{Q}$ -vsp generated by

closed integral $Z \subseteq X \times X$ s.t.

$p_1, p_2: Z \rightarrow X$ are finite loc free

(As X smooth, this \Rightarrow equivalent to $\dim Z = 1$)

and $p_1, p_2|_Z$ non-constant.)

Becomes a ring (\mathbb{Q} -algebra) under

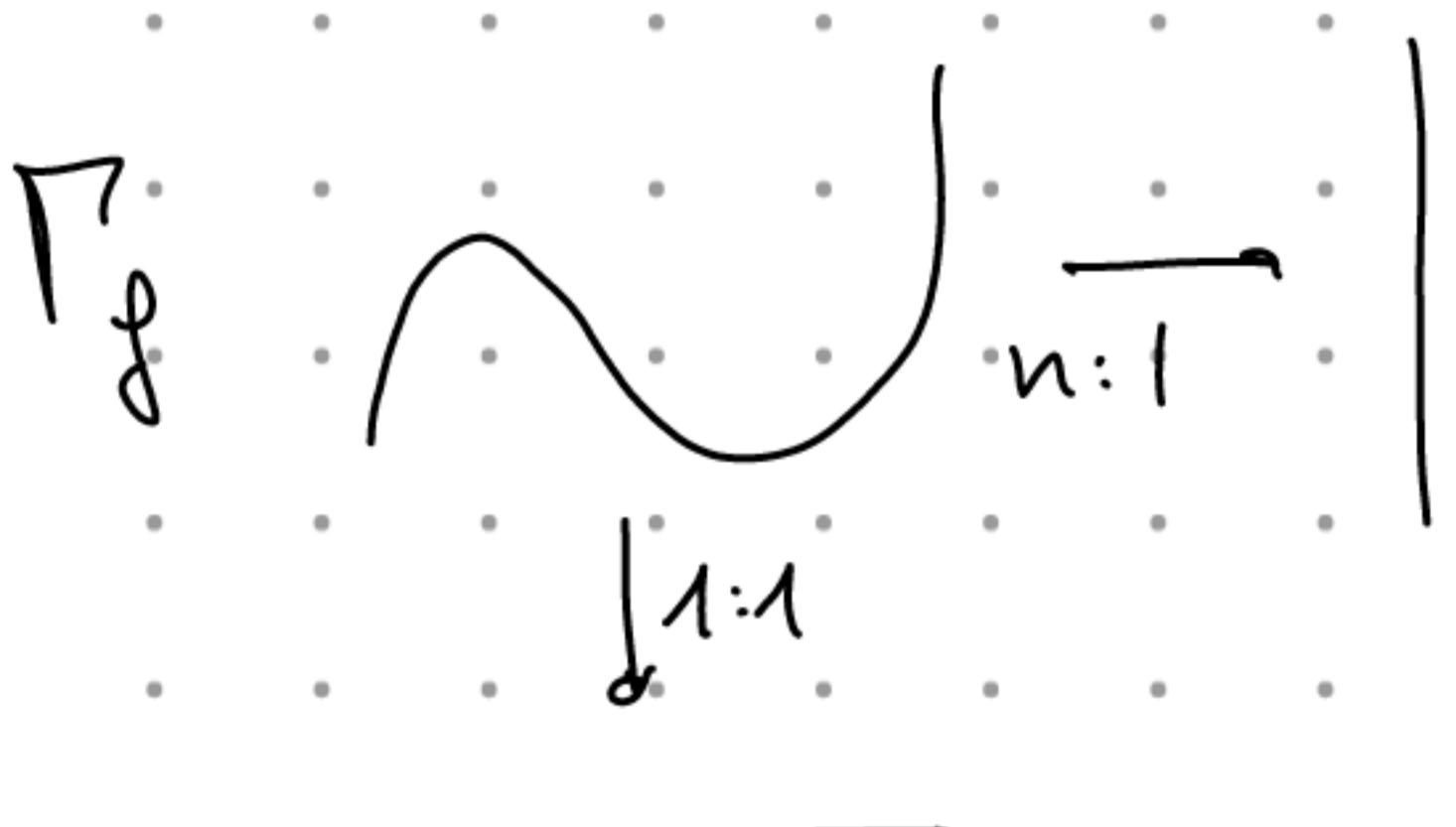
$$Z_1 * Z_2 := \text{im} \left(\begin{array}{ccc} Z_1 & \times & Z_2 \\ p_2, X, p_1 \end{array} \xrightarrow{(p_1, p_2)} X \times X \right)$$

In following sense: $Z_1 * Z_2 = \bigcup_{i=1}^r Y_i$ irreducible components.

Then RHS = $\sum [k(Y_i) : k(p(Y_i))] \cdot p(Y_i)$

$$p = (p_1, p_2)$$

Example $f: X \rightarrow X$ non-constant has graph



$$\underline{\text{Check}} \quad \Gamma_f * \Gamma_g = \Gamma_{gof}$$

So correspondences generalize concept of maps!

There is a ring morphism $\text{Corr}(X) \rightarrow \text{End}^0(J)$:

$$Z^* L := \det(p_{1,*}(p_2^* L)) \otimes \det(p_{1,*} Q_2)^{-1}$$

line bundle on $S \times X$

(Here one has to put $\det 0 := \mathcal{O}_X$ to cover $Z = 0$.)

Concretely for $S = \text{Spec } k$:

Consider $L = \mathcal{O}_X(\lceil x \rfloor)$ w/ $p_2^{-1}(x) \subseteq$ normal locus of Z .

$$\text{Then } p_2^* L = Q_Z \left(\sum_{p_2(z)=x} e_{p_2,z} \lceil z \rfloor \right)$$

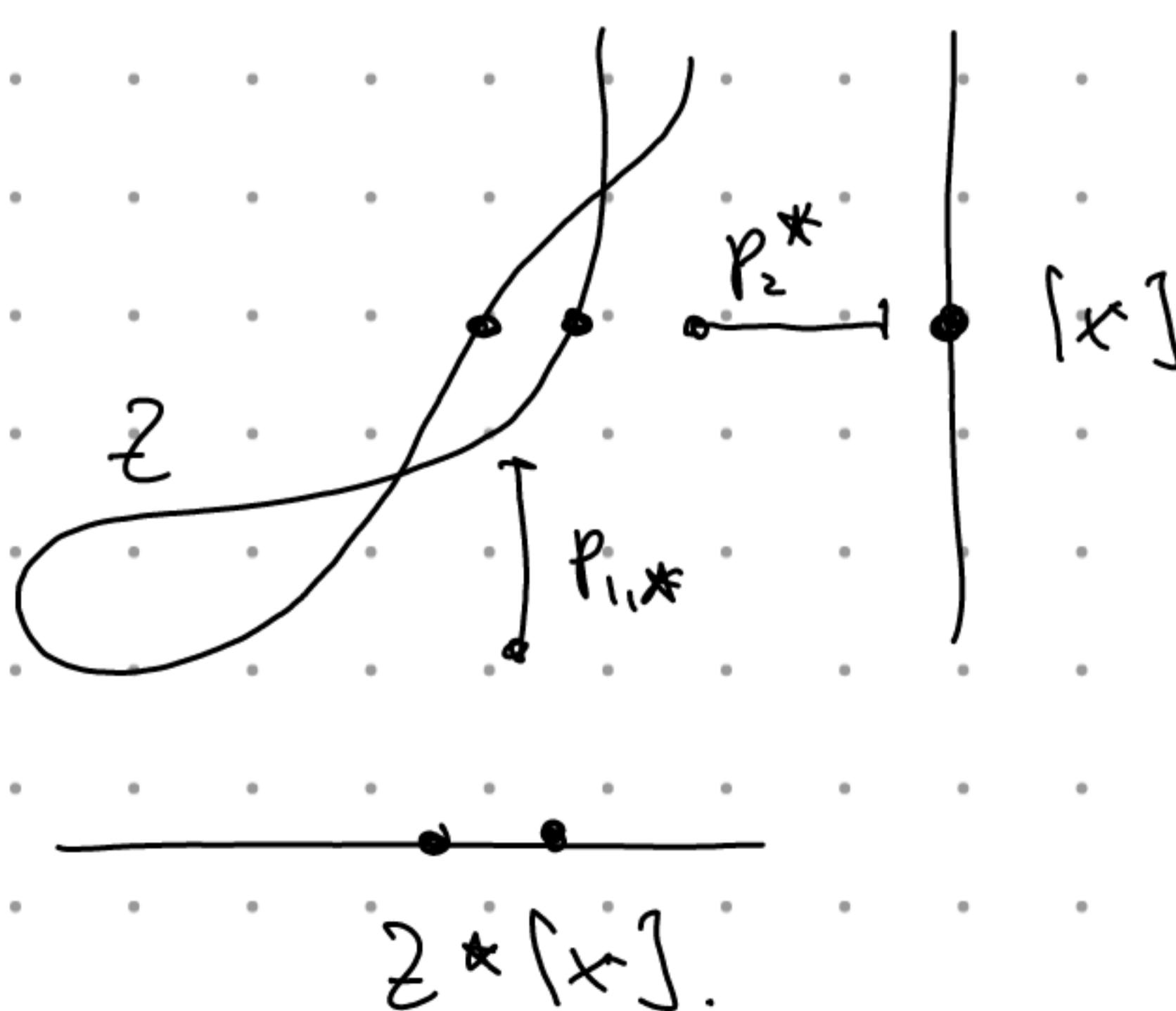
$$\text{and } v_{x'} (\det p_{1,*} Q_2 \longrightarrow \det p_{1,*} p_2^* L)$$

$$= \sum_{\substack{p_1(z)=x' \\ p_2(z)=x}} e_{p_1,z} \cdot f_{p_1,z} \cdot e_{p_2,z} \lceil x' \rfloor$$

$\Rightarrow Z^*$ - comes from the

multi-valued map defined

by Z .



From divisor description we see that

$$\deg \mathcal{L} = 0 \implies \deg \mathcal{Z} * \mathcal{L} = 0,$$

so get map $J \rightarrow J$ as claimed.

(Exercise: Check from divisor description that

$$\mathcal{Z}_1 * (\mathcal{Z}_2 * \mathcal{L}) = (\mathcal{Z}_1 * \mathcal{Z}_2) * \mathcal{L}.$$

See Fulton §16 for more details.

Hecke correspondence

Let p prime, $K = \mathrm{GL}_2(\mathbb{Z}_p) \subseteq \mathrm{GL}_2(\mathbb{Q}_p)$

Def Hecke algebra $\mathcal{H}_p = \underset{\text{def}}{\mathbb{Q}[\mathrm{GL}_2(\mathbb{Z}_p) \backslash \mathrm{GL}_2(\mathbb{Q}_p) / \mathrm{GL}_2(\mathbb{Z}_p)]}$
(finite linear combinations
of double cosets.)

View as left and right K -invariant functions with
compact support on $\mathrm{GL}_2(\mathbb{Q}_p)$. Then multiplication is
convolution

$$(f * g)(x) = \int_{\mathrm{GL}_2(\mathbb{Q}_p)} f(y) g(y^{-1}x) dy$$

w.r.t. unique translation invariant measure s.t. $\mu(K) = 1$.

Elementary divisor then:

$$K \backslash GL_2(\mathbb{Q}_p) / K = \left\{ K \cdot \begin{pmatrix} p^a & \\ & p^b \end{pmatrix} K \mid a \geq b \right\}$$

$\Rightarrow \prod_{a \geq b} K \begin{pmatrix} p^a & \\ & p^b \end{pmatrix} K$ form a basis for \mathcal{H}_p

$$\begin{pmatrix} p & \\ & p \end{pmatrix} \text{ lies in center, so } K \begin{pmatrix} p & \\ & p \end{pmatrix} K = K \begin{pmatrix} p & \\ & p \end{pmatrix} = \begin{pmatrix} p & \\ & p \end{pmatrix} K$$

Def $\langle p \rangle := \prod_{a \geq b} K \begin{pmatrix} p^a & \\ & p^b \end{pmatrix} K$. Then

$$\langle p \rangle * \prod_{a \geq b} K \begin{pmatrix} p^a & \\ & p^b \end{pmatrix} K = \prod_{a \geq b} K \begin{pmatrix} p^{a+1} & \\ & p^{b+1} \end{pmatrix} K$$

What about $T_{p^i} := \prod_{a \geq b} K \begin{pmatrix} p^i & \\ & p^i \end{pmatrix} K$?

Have following description: $GL_2(\mathbb{Q}) / GL_2(\mathbb{Z}_p) \cong \left\{ \lambda \in \mathbb{Q}^2 \text{ lattices} \right\}$

So $\mathcal{H}_p = \text{Map}_c(\{\} \times \{\}, \mathbb{Q})^{GL_2(\mathbb{Z}_p)}$

↑ invariant under
finite support $GL_2(\mathbb{Z}_p)$ -action on $\{\} \times \{\}$.

Multivalued map $T_{p^i}(\lambda) = \left\{ \lambda' \subseteq \lambda, \lambda / \lambda' \cong \mathcal{H}_{p^i} \right\}$

→ elementary divisor $(p^i, 1)$.

$$(\text{Rück} \quad \star T_{p^i}(\lambda) = \star \mathbb{P}^1(\mathbb{Z}/p^i) = p^{i-1}(p+1))$$

Then $T_{p^i} \in \text{Map}_c(\{\lambda\}, \mathbb{Q})$ via

$$T_{p^i} \left(\sum_r \lambda_r \mathbb{1}_{\lambda_r} \right) = \sum_r \lambda_r \mathbb{1}_{T_{p^i}(\lambda_r)}$$

and this describes multiplication in \mathcal{H}_p !

$$\Rightarrow T_p \star T_p = T_{p^2} + (p+1)\langle p \rangle$$

$$\begin{aligned} &= \sum_{\substack{\lambda' \subset \lambda \subset \mathbb{Z}_p^2 \\ \lambda' \in \lambda}} \mathbb{1}_{\lambda'} \\ &\quad \text{For } \lambda' = p \cdot \mathbb{Z}_p^2, \\ &\quad \text{there are } p+1 \text{ ways to} \\ &\quad \text{squeeze } \lambda' \subset \lambda \subset \mathbb{Z}_p^2 \end{aligned}$$

If $\mathbb{Z}_p/\lambda' \cong \mathbb{Z}/p^2$, there

is a unique squeezed

$$\lambda' \subset \lambda \subset \mathbb{Z}_p^2$$

Such arguments show:

$$\text{Prop} \quad \mathcal{H}_p = \mathbb{Q}[\langle p \rangle^{\pm 1}, T_p]$$

$$\left(\cong \mathbb{Q}[S^{\pm 1}, T] \quad \text{commutative polynomial} \right)$$

ring!

Now back to global setting, $N \geq 1$. One obtains

$$\bigoplus_{p \nmid N} \mathcal{H}_p \longrightarrow \text{Corr}(X_N)$$

$$\therefore \langle p \rangle \longmapsto \langle p \rangle \text{ operator}$$

$$\langle p \rangle(E, \alpha) = (\bar{\epsilon}, p\alpha) \text{ on } Y_K$$

View as correspondance via graph $\Gamma_{\langle p \rangle}$.

$$\therefore T_p \longmapsto X_{N,p}$$

View $X_{N,p}$ as correspondance via the two maps

$$(E, \alpha, C) \longmapsto ((E, \alpha), (E/C, \alpha \bmod C))$$

(The multivalued map is thus $[E] \longmapsto \sum_{\substack{E \not\rightarrow E' \\ \text{of deg } p}} [E']$)

Upshot Let $K(N) \subset K \subset \text{GL}(\hat{\mathbb{Z}})$ level, e.g. $K_0(N)$.

Obtain $\mathbb{Q}[\langle p \rangle^{\pm 1}, T_p; p \nmid N] \longrightarrow \text{End}^0(J_K)$

$$\mathcal{H}^N := \bigoplus_{p \nmid N} \mathcal{H}_p \quad \xrightarrow{\cong} \text{Hecke algebra away from } N$$

Recall May write $J_K \sim \prod_{i \in I} A_i^{n_i}$

in unique way with A_i simple, $A_i \neq A_j$ if $i \neq j$.

Then $\text{End}^0(J_K) = \prod_{i \in I} M_{n_i}(D_i)$ $D_i = \text{End}^0(A_i)$
division \mathbb{Q} -algebra.

Contains the projections $e_i = (0, \dots, 0, 1_i; 0, \dots, 0)$

Thm 1) The image of $\mathcal{H}^N \rightarrow \text{End}^0(J_K)$

contains the idempotents e_i .

2) Given an EC E/\mathbb{Q} , there exists a choice of
level K s.t. $J_K \sim E \times A$

with $\text{Hom}(E, A) = 0$. (Newform theory)
+ modularity thm.

Rmk This theorem is proved analytically by studying

modular forms, i.e. $H^1_{\text{Betti}}(X_K(\mathbb{C}), \mathbb{C})$,

and then carries over through the comparison

to with étale cohomology.

§ Eichler - Shimura

K s.th.: $J_K \sim E \times A$, $\text{Hom}(E, A) = 0$

Question What is the composition

$$\mathcal{B}^N \rightarrow \text{End}^\circ(J_K) \xrightarrow{\text{pr}} \text{End}^\circ(E)$$

$$T_p \xrightarrow{\quad} ?$$

(E occurs for $K_0(N)$ and any subgroup $C \subseteq E$

\rightsquigarrow stable under p^\bullet , so one knows a priori that
 $\langle p \rangle \mapsto \text{id}_E$. The $\langle p \rangle$ -operator only plays a
role when refining the level, e.g. $\Gamma_1(N) \hookrightarrow K_1(N)$.)

Then $T_p \mapsto a_p \in \mathbb{Z} \subseteq \text{End}^\circ(E)$,

$$a_p = \text{tr}(F_p) = F_p + F_p^* = F_p + V_p = p+1 - \#\tilde{E}(F_p)$$

(This is the corrected sign)

Proof: $M_K/\mathbb{Z}\Gamma_N^\perp$ has a smooth compactification M_K^*

(Means $M_K^* \rightarrow \text{Spec } \mathbb{Z}\Gamma_N^\perp$ proper, smooth, contains

M_K as dense open.)

$\Rightarrow J_K$ extends to abelian scheme over $\mathbb{Z}\Gamma_N^\perp$.

namely $\tilde{J}_K := \text{Pic}^0_{M_K^*/\mathbb{Z}[\frac{1}{N}]}$

) Weil extension Thm:

$$\text{End}(J_K) = \text{End}(\tilde{J}_K)$$

In phic, the idempotent $(E \times A \xrightarrow{\text{pr}} E \hookrightarrow E \times A)$

lifts to \tilde{J}_K , giving $\tilde{J}_K \sim \tilde{E} \times \tilde{A}$

In phic, E also has good reduction, model \tilde{E} .

) Furthermore, (a compactification of) $M_{K,p}$

provides an integral model of T_p that describes
the image of $T_{p,\mathbb{Q}}$ under Weil extn

$$\text{End}(J_K) \xrightarrow{\cong} \text{End}(\tilde{J}_K).$$

$$\& \text{End}(E) \xrightarrow{\cong} \text{End}(\tilde{E}).$$

) By rigidity, $\text{End}(\tilde{J}_K) \hookrightarrow \text{End}(\mathbb{F}_\ell \otimes \tilde{J}_K)$

$$\text{End}(\tilde{E}) \hookrightarrow \text{End}(\mathbb{F}_\ell \otimes \tilde{E})$$

for any prime $\ell \neq N$.

We choose to work at the "bad" (= interesting) prime $l=p$.

$\therefore \mathbb{F}_p \otimes \widetilde{\mathcal{J}}_K = \text{Jacobian of special fl } \overline{\mathcal{M}}_K^*$

& intersect here with its endomorphism defined by special fibre of correspondence $\overline{\mathcal{M}}_{K,p}^*$

(This just explores functoriality properties of the Jacobian construction and the map $\text{Corr}(X) \rightarrow \text{End}(\mathcal{J})$.)

\therefore In $\text{Corr}(\overline{\mathcal{M}}_K^*)$, $F \in \mathcal{V}$

$$\overline{\mathcal{M}}_{K,p}^* = \mathbb{F}(\overline{\mathcal{M}}_K^*) \amalg w\mathbb{F}(\overline{\mathcal{M}}_K^*)$$

Identifying them with $\overline{\mathcal{M}}_K^*$ via \mathbb{F} and $w\mathbb{F}$,

there are

$$\begin{array}{ccc} \overline{\mathcal{M}}_K^* & \xrightarrow{\text{Frob}} & \overline{\mathcal{M}}_K^* \\ \mathbb{F}: & \downarrow \text{id} & \\ & \overline{\mathcal{M}}_K^* & \end{array}$$

$$\begin{array}{ccc} \overline{\mathcal{M}}_K^* & \xrightarrow{\langle p \rangle} & \overline{\mathcal{M}}_K^* \\ w\mathbb{F}: & \downarrow \text{Frob} & \\ & \overline{\mathcal{M}}_K^* & \end{array}$$

The composition F^*V in $\text{Corr}(\overline{\mathcal{M}}_K^*)$ acts
on \tilde{T}_p (in terms of divisors) as

$$D \mapsto F_{\#}^* F_{\#} \langle p \rangle^* D = p \cdot \langle p \rangle^* D.$$

(Given $f: X \rightarrow Y$ degree d map of curves,
 $f_* f^* D = d \cdot D$)

F itself acts as Verschiebung of \tilde{T}_p by functoriality.
 $\Rightarrow V = \langle p \rangle \cdot F^*$ dual isogeny.

•) Mentioned above: $\langle p \rangle \hookrightarrow 1$ in $\text{End}(E)$
 $\Rightarrow T_p \hookrightarrow F + F^* = \lambda(F) \in \text{End}(E)$



On the hand \exists 1-dimensional \mathbb{F}^N -stable summand
 $C \cdot \mathbb{F}_E \subseteq \{ \text{Modular forms of wt } 2, \text{ level } K \}$

that corresponds to E under comparison via étale \rightarrow Betti.

Thus $T_p \subset C \cdot \mathbb{F}_E$ also via a_p !

If f_E is normalized, then this reflects in its
Fourier coeff. being ap.